

Nova Southeastern University
Graduate School of Computer and Information Sciences

DISS 765 – Managing Risk in Secure (4 credits)
Spring Cluster 2009 (March 6 – August 5, 2009)

Professor

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Course Description

Study of the theory and practice of risk management in secure systems and networks. The course will focus on the current tools and best practices available in mitigating system vulnerabilities and the accepted methodologies for managing residual risks. Topics include operational security, risk reduction techniques, auditing of information systems, and effective long-term risk monitoring approaches. An emphasis will be placed on current issues and future directions in managing risks.

Required Textbook

None (Supplemental readings provided)

Learning Outcomes

By the end of the course, the student will be able to:

1. Possess an understanding of the risk management process
2. Have the ability to conduct quantitative and qualitative risk assessments of complex information infrastructures.

Course Outline

This course will include the following areas beyond familiarity with basic security concepts:

- Risk Management
- Vulnerability Assessments
- Security Assessments
- Risk Mitigation Techniques
- Future Trends

Instruction Methods and Tools

The following on-line tools will be used in the course:

WebCT - The WebCT web-based interface will be used by the student to submit all course assignments. The instructor will provide assignment grades through WebCT and all broadcast messages through the “Course Announcements” forum.

Email – Electronic mail will be used in the course by the instructor to notify students of course-related issues and requirements during non-cluster periods. Students will use email for all questions, concerns, or issues addressed to the instructor during the course. All correspondences via email will utilize the students NSU email account. Students should check their NSU email daily.

Assignments

Assignment	Value	Assignment Information
1	10%	<u>Annotated Bibliography</u> Select and review 10 quality articles regarding vulnerability assessments, threat assessments, or risk management from IEEE or ACM. No other sources are permitted. Write an annotation for each and post individually in the Research in Information Security WebCT “course”. The subject of your posting must be the title of the article. The annotation should consist of 2-3 solid paragraphs about the article that summarizes the article. Do not include your opinions of the article in the annotation. <u>Due: 11:55 pm ET 03/29/09</u>

Assignment	Value	Assignment Information
2	20%	<p><u>Problem Statements</u> For this assignment the student will review the current academic literature (IEEE or ACM) relevant to risk management. Based on that review the student will write 10 problem statements. Each problem statement must be limited to two paragraphs. The first paragraph will contain the clear statement of the problem, and the second paragraph will support that problem as a viable research area by citing references from refereed literature. Each of the 10 problem statements must contain a current problem worthy of a dissertation or other significant research effort.</p> <p>This assignment will be evaluated based on the relevance of the selected problem, the clarity in which it is stated, the ability of the student to justify the problem, and the overall presentation of the report (proper grammar, spelling, etc.). Each problem statement will be worth 2 points towards the student's final grade.</p> <p>Due: 11:55 pm ET 04/26/09</p>
3	25%	<p><u>Risk Analysis Report</u> The student will prepare a risk analysis report that describes the following activities:</p> <ul style="list-style-type: none"> • The student will select a risk assessment methodology that has been published in IEEE, ACM, or other refereed publication and for use in assessing the risk of a selected enterprise information system. The report must include a thorough review of the chosen risk assessment methodology, including background on the methodology and the theoretical foundations of the approach. The report should also include descriptions of previous applications of the methodology in real-world examples. • The student will use the selected methodology to identify the information security risks associated with the target enterprise information system. The details of the specific threats and vulnerabilities should not be included in the report, but a description of the types of threats and vulnerabilities identified should be described. <p>This assignment will be evaluated based on the thoroughness and presentation of the risk analysis.</p> <p>Due: 11:55 pm ET 06/07/09</p>
4	10%	<p><u>Presentation</u> During the June cluster the student will provide a 15 minute presentation to the class on the risk analysis conducted in assignment 3. No proprietary or confidential information should be included in the presentation.</p> <p>Due: 11:55 pm ET 06/07/09</p>

Assignment	Value	Assignment Information
5	35%	<p>Risk Mitigation Report</p> <p>The student will prepare a report that describes in detail the steps to mitigate the risks to the enterprise system described in assignment 3. The report should include a describe of the following activities:</p> <ul style="list-style-type: none"> The student will utilize available technologies and practices to mitigate as many of the identified risks as possible. The specific technologies and/or processes that are applied should reflect established security best practices. This portion of the report will be evaluated in part on the decision making process applied by the student. The student will identify the residual risks in the enterprise system after the mitigation approaches have been applied and establish a plan for managing that residual risk. This portion of the report must include an identification of the residual risk, why the risk remains, and what measures will be put into place to monitor the type and amount of residual risk that is present in the enterprise system. <p>This assignment will be evaluated based on the relevance of the selected mitigation approach(es), the clarity in which it is stated, the ability of the student to justify the mitigation approach, and the overall presentation of the report (proper grammar, spelling, etc.).</p> <p><u>Due: 11:55 pm ET 08/02/09</u></p>
Total Value	100%	

Grading Criteria

Grade	% Required
A	94%
A-	90%
B+	87%
B	84%
B-	80%
C+	77%
C	74%
C-	70%
F	< 70%

Course Rules

- All assignments must be submitted to WebCT by 11:55 pm (ET) on the assigned due date. Students submitting their assignment after 11:55 pm (ET) on the due date will receive a 24 hour grace period to submit their

- assignment. However, there will be a 50% penalty assessed for assignments submitted during the grace period. No assignments will be accepted after the end of the grace period, except in extraordinary circumstances AND with the prior approval of the instructor before the original deadline.
2. All class assignments must be submitted via [WebCT](#). The acceptable formats are PDF, ZIP, ASCII text, postscript, and Microsoft Word.
 3. Students are encouraged to discuss and share information pertaining to this course. However, all submitted work must be original and must be your own.
 4. A student may not do additional work or repeat an examination to raise a grade.

School and University Policies and Procedures:

Students must comply with the policies published in the school's *Graduate Catalog* and the *NSU Student Handbook*, some of which are included or referenced below. The catalog is at http://www.scis.nova.edu/NSS/pdf_documents/Catalog.pdf . The handbook is at <http://www.nova.edu/cwis/studentaffairs/forms/ustudenthandbook.pdf> .

1. Standards of Academic Integrity For the university-wide policy on academic standards, see the section Code of Student Conduct and Academic Responsibility in the *NSU Student Handbook*. Also see the section Student Misconduct in the GSCIS catalog.

Each student is responsible for maintaining academic integrity and intellectual honesty in his or her academic work. It is the policy of the school that each student must:

- Submit his or her own work, not that of another person
- Not falsify data or records (including admission materials and academic work)
- Not engage in cheating (e.g., giving or receiving help during examinations; acquiring and/or transmitting test questions prior to an examination; or using unauthorized materials, such as notes, during an examination)
- Not receive or give aid on assigned work that requires independent effort
- Properly credit the words or ideas of others according to accepted standards for professional publications (see the next section *Crediting the Words or Ideas of Others*)
- Not use term paper writing services or consult such services for the purpose of obtaining assistance in the preparation of materials to be submitted in courses or for theses or dissertations
- Not commit plagiarism (*Merriam-Webster's Collegiate Dictionary* (2004) defines plagiarism as "stealing or passing off ideas or words of another as one's own" and "the use of a created production without crediting the source.") (see *Crediting the Words or Ideas of Others* below)

Crediting the Words or Ideas of Others

When using the exact words of another, quotation marks must be used for short quotations (fewer than 40 words), and block quotation style must be used for longer quotations. In either case, a proper citation must also be provided. *Publication Manual of the American Psychological Association, Fifth Edition*, (2001, pp. 117 and 292) contains standards and examples on quotation methods.

When paraphrasing (summarizing, or rewriting) the words or ideas of another, a proper citation must be provided. (*Publication Manual of the American Psychological Association, Fifth Edition* (2001) contains standards and examples on citation methods (pp. 207–214) and reference lists (pp. 215–281)). The *New Shorter Oxford English Dictionary* (1993) defines paraphrase as “An expression in other words, usually fuller and clearer, of the sense of a written or spoken passage or text...Express the meaning (of a word, phrase, passage, or work) in other words, usually with the object of clarification...”. Changing word order, deleting words, or substituting synonyms is not acceptable paraphrasing—it is plagiarism, even when properly cited. Rather than make changes of this nature, the source should be quoted as written.

Original Work

Assignments, exams, projects, papers, theses, dissertations, etc., must be the original work of the student. Original work may include the thoughts and words of others but such thoughts or words must be identified using quotation marks or indentation and must properly identify the source (see the previous section *Crediting the Words or Ideas of Others*). At all times, students are expected to comply with the school’s accepted citation practice and policy.

Work is not original when it has been submitted previously by the author or by anyone else for academic credit. Work is not original when it has been copied or partially copied from any other source, including another student, unless such copying is acknowledged by the person submitting the work for the credit at the time the work is being submitted, or unless copying, sharing, or joint authorship is an express part of the assignment. Exams and tests are original work when no unauthorized aid is given, received, or used before or during the course of the examination, reexamination, and/or remediation.

2. Writing Skills

Students must demonstrate proficiency in the use of the English language. Grammatical errors, spelling errors, and writing that fails to express ideas clearly will affect their grades and the completion of their academic programs. The faculty will not provide remedial help concerning grammatical errors or other writing difficulties. It is the student’s responsibility to proofread and edit his or her work which, in both form and content, should be letter-perfect. Work that is not properly

edited will be rejected. It is university policy that students must submit their own work, not that of another person. Consequently, they should refrain from using outside editors to redo their work.

3. Disabilities and ADA

NSU complies with the American with Disabilities Act (ADA). The university's detailed policy on disabilities is contained in the NSU *Student Handbook*. Student requests for accommodation based on ADA will be considered on an individual basis. Students with disabilities should discuss their needs with their academic advisors before the commencement of classes if possible.

4. Communication by Email

Students must use their NSU email accounts when sending email to faculty and staff and must clearly identify their names and other appropriate information, e.g., course or program. When communicating with students via email, faculty and staff members will send mail only to NSU email accounts using NSU-recognized usernames. Students who forward their NSU-generated email to other email accounts do so at their own risk. GSCIS uses various course management tools that use private internal email systems. Students enrolled in courses using these tools should check both the private internal email system and NSU's regular email system. NSU offers students web-based email access. Students are encouraged to check their NSU email account and their course management email daily.

5. The Temporary Grade of Incomplete (I)

The temporary grade of Incomplete (I) will be granted only in cases of extreme hardship. Students do not have a right to an incomplete, which may be granted only when there is evidence of just cause. A student desiring an incomplete must submit a written appeal to the course professor at least two weeks prior to the end of the term. In the appeal, the student must: (1) provide a rationale; (2) demonstrate that he/she has been making a sincere effort to complete the assignments during the term; and (3) explain how all the possibilities to complete the assignments on time have been exhausted. Should the course professor agree, an *incomplete contract* will be prepared by the student and signed by both student and professor. The *incomplete contract* must contain a description of the work to be completed and a timetable. The completion period should be the shortest possible. In no case may the completion date extend beyond 30 days from the last day of the term for master's courses or beyond 60 days from the last day of the term for doctoral courses. The *incomplete contract* will accompany the submission of the professor's final grade roster to the program office. The program office will monitor each *incomplete contract*. If a change-of-grade form is not submitted by the scheduled completion date, the grade will be changed automatically from I to F. No student may graduate with an I on his or her record.

6. Grade Policy Regarding Withdrawals

Course withdrawal requests must be submitted to the program office in writing by the student. Requests for withdrawal must be received by the program office by the calendar midpoint of the course (see dates in the academic calendar in the catalog and program brochures or websites). Withdrawals sent by email must be sent from the student's assigned NSU email account. Requests for withdrawal received after 11:59 p.m. EST on the withdrawal deadline date will not be accepted. Failure to attend class or participate in course activities will not automatically drop or withdraw a student from the class or the university. Students who have not withdrawn by the withdrawal deadline will receive letter grades that reflect their performance in the course. When a withdrawal request is approved, the transcript will show a grade of W (*Withdrawn*) for the course. *Students with four withdrawals will be dismissed from the program.* Depending on the date of withdrawal, the student may be eligible for a partial refund (see the appropriate catalog section Refund Policy Regarding Withdrawals).

7. Acceptable Use of Computing Resources

Students must comply with the university's *Policy on Acceptable Use of Computing Resources* (see *NSU Student Handbook*).

8. Academic Progress, Grade Requirements, and Academic Standing

Students must be familiar with the school's policies that are contained in its catalog.

9. Student Research Involving Human Subjects

Students must be familiar with the university's policy (see paragraph in catalog).